. 03_20-06

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/776,682 Filing Date TRANSMITTAL February 10, 2004 First Named Inventor **FORM** Rodolfo A. MORALES Art Unit 3785 (to be used for all correspondence after initial filing) **Examiner Name** G. Jackson Attorney Docket Number 6 578492000510 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please X Other Line. () Identify below): **Extension of Time Request Terminal Disclaimer** Form PTO/SB/08a/b - 2 pages Express Abandonment Request Request for Refund Return Receipt Postcard Information Disclosure Statement CD, Number of CD(s) (Supplemental) - 3 pages Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **MORRISON & FOERSTER LLP** (Customer No. 25226) Signature Printed name Mika Maver Date Reg. No. *2*006 47,777 March 16. I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 534437377 US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 16, 2006

Signature:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 534437377 US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 16, 2006

Signature: ____

gina Matos)

Patent Docket No. 578492000510

MAR 1 & 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kodolfo A. MORALES et al.

Serial No.: 10/776,682

Filing Date: February 10, 2004

For: DEVICES AND METHODS FOR

HEART VALVE REPAIR

Examiner: G. Jackson

Group Art Unit: 3785

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record.

Applicants would like to draw the Examiner's attention to the co-pending applications listed below:

Application Serial No. 11/201,949, filed 08/10/2005, by To et al.,

Application Serial No. 11/232,190, filed 09/20/2005, by To et al.,

Application Serial No. 11/255,400, filed 10/20/2005, by Starksen et al. and,

Application Serial No. 11/270,034, filed 11/08/2005, by To et al.

	This Su	applemental Information Disclosure Statement is submitted:				
	With	the application; accordingly, no fee or separate requirements are required.				
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued				
	Exam	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97				
	(e)(1)	has been provided.				
\boxtimes	Withi	n three months of the application filing date or before mailing of a first Office Action				
	on the	e merits; accordingly, no fee or separate requirements are required. However, if				
	applic	cable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.				
	After	receipt of a first Office Action on the merits but before mailing of a final Office Action				
	or No	tice of Allowance.				
		A fee is required. A check in the amount of is enclosed.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to				
		this submission in duplicate.				
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is				
		believed to be due.				
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue fee.					
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the				
		amount of is enclosed.				
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal				
		form (PTO/SB/17 is attached to this submission in duplicate.)				

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>578492000510</u>.

Dated: March 16, 2006

Respectfully submitted,

Mika Mayer

Registration No.: 47,777

MORRISON & FOERSTER LLP

755 Page Mill Road

Palo Alto, California 94304-1018

(650) 813-4298



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
10/776,682				
February 10, 2004				
Rodolfo A. MORALES				
3785				
G. Jackson				
578492000510				
	10/776,682 February 10, 2004 Rodolfo A. MORALES 3785 G. Jackson			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1.	US-2003/0033006-A1	02-13-2003	Phillips et al.		
	2.	US-2003/0167071-A1	09-04-2003	Martin et al.		
	3.	US-2005/0080454-A1	04-14-2005	Drews et al.		
	4.	US-2005/0216078-A1	09-29-2005	Starksen et al.		
	5.	US-2005/0251157-A1	11-10-2005	Saadat et al.		
	6.	US-2005/0251159-A1	11-10-2005	Ewers et al.		
	7.	US-2005/0251166-A1	11-10-2005	Vaughan et al.		
	8.	US-2005/0251177-A1	11-10-2005	Saadat et al.		
	9.	US-2005/0251205-A1	11-10-2005	Ewers et al.		
	10.	US-2005/0251207-A1	11-10-2005	Flores et al.		
	11.	US-2005/0251208-A1	11-10-2005	Elmer et al.		
	12.	US-2005/0251209-A1	11-10-2005	Saadat et al.		
	13.	US-2005/0251210-A1	11-10-2005	Westra et al.		
	14.	US-2005/0273138-A1	12-08-2005	To et al.		
	15.	US-2005/0277966-A1	12-15-2005	Ewers et al.		
	16.	US-2005/0277981-A1	12-15-2005	Maahs et al.		
	17.	US-2005/0277983-A1	12-15-2005	Saadat et al.		
	18.	US-2006/0025750-A1	02-02-2006	Starksen et al.		
	19.	US-2006/0025784-A1	02-02-2006	Starksen et al.		
	20.	US-2006/0025787-A1	02-02-2006	Morales et al.		
	21.	US-5,520,702-A	05-28-1996	Sauer et al.		
	22.	US-5,643,289-A	07-01-1997	Sauer et al.	,	
	23.	US-6,099,553-A	08-08-2000	Hart et al.		
	24.	US-6,409,743-B1	06-25-2002	Fenton, Jr.		
	25.	US-6,423,088-B1	07-23-2002	Fenton, Jr.		
	26.	US-6,575,971-B2	06-10-2003	Hauck et al.	1	
	27.	US-6,986,775-B2	01-17-2006	Morales et al.		
	28.	US-6,991,643-B2	01-31-2006	Saadat		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

Examiner	Date	
Signature	 Considered	

Substitute for form 1449/PTO				Complete if Known		
Sur	ostitute for form 1449/P1O			Application Number	10/776,682	
11	NFORMATION	l Di	SCLOSURE	Filing Date	February 10, 2004	
	STATEMENT BY APPLICANT			First Named Inventor	Rodolfo A. MORALES	
	, i A i E i i i E i i i i	J.,	AI I LIOAITI	Art Unit	3785	
	(Use as many she	ets as	necess ary)	Examiner Name	G. Jackson	
Sheet	. 2	of	2	Attorney Docket Number	578492000510	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journ al, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		:			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.